



COPY OF PAPERS
ORIGINALLY FILED

Attorney Docket No. CRR0001
Client/Matter No. 85528.0005
Express Mail No. EL 941919870US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Holger Nolte, et al.

Serial No. 10/001,940

Filed: November 29, 2001

For: SYSTEM AND METHOD FOR
IMPLEMENTING A THREE-
DIMENSIONAL GRAPHIC USER
INTERFACE

Group Art Unit: 2673

Examiner: [Not Yet Assigned]

PATENT & TRADEMARK
OFFICE
FEB 12 2002
RECEIVED

RESPONSE TO NOTICE TO FILE MISSING PARTS

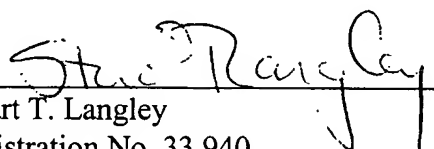
BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application, Filing Date Granted, mailed December 19, 2001, submitted herewith is a signed Declaration; a check in the amount of \$831.00 to cover fees and late surcharge; and a copy of the PTO Notice form. Any fee deficiency associated with this communication may be charged to Deposit Account No. 50-1123.

Also enclosed is a Recordation Form Cover Sheet PTO 1595 with executed Assignment and recording fee of \$40.00. Please forward the Assignment to the Recording Branch for recording.

Dated: 2/12, 2002


Stuart T. Langley
Registration No. 33,940
HOGAN & HARTSON LLP
One Tabor Center
1200 17th Street, Suite 1500
Denver, Colorado 80202
(720) 406-5335 Tel
(720) 406-5301 Fax



COPY OF PAPERS
ORIGINALLY FILED

02-15-02 #4

See later #

Attorney Docket No. CRR0001
Client/Matter No. 85528.0005
Express Mail No. EL 941919870US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Holger Nolte, et al.

Serial No. 10/001,940

Filed: November 29, 2001

For: SYSTEM AND METHOD FOR
IMPLEMENTING A THREE-DIMENSIONAL
GRAPHIC USER INTERFACE

Group Art Unit: 2673

Examiner: [Not Yet Assigned]

CERTIFICATE OF MAILING BY EXPRESS MAIL

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

1. Response to Notice to File Missing Parts
2. Copy of Notice to File Missing Parts dated December 19, 2001;
3. Declaration (executed);
4. Recordation Form Cover Sheet and Assignment (executed);
5. Fee Transmittal Sheet with check in the amount of \$871.00;
6. Certificate of Mailing by Express Mail; and
7. Return Receipt Postcard.

relating to the above application, were deposited as "Express Mail," Mailing Label
No. EL941919870US with the United States Postal Service, addressed to Box Missing Parts,
Commissioner for Patents, Washington, D.C., 20231, February 12, 2002.

2/12/02
Date

2/12/02
Date

Stuart T. Langley
Mailer

Stuart T. Langley
Stuart T. Langley
Reg. No. 33,940
HOGAN & HARTSON LLP
One Tabor Center
1200 17th Street, Suite 1500
Denver, Colorado 80202
(720) 406-5335 Tel
(720) 406-5301 Fax

PATENT & TRADEMARK
OFFICE
DIVISION

FEB 12 02

PATENT & TRADEMARK
OFFICE

FEE TRANSMITTAL for FY 2002

Complete if Known

Application Number 10/001,940
Filing Date November 29, 2001
First Named Inventor Holger Nolte, et al.
Examiner Name [Not Yet Assigned]
Group / Art Unit [Not Yet Assigned]
Attorney Docket No. CRR0001

TOTAL AMOUNT OF PAYMENT (\$) (\$ 871.00)

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 50-1123

Deposit Account Name Hogan & Hartson L.L.P.

☒ Charge Any Additional Fee Required Under 37 CFR § 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility Filing Fee	370.00
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$370.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
36	-20**= 16	9	144.00
Independent Claims 9	-3**= 6	42	252.00
Multiple Dependent			0

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid	
109 84	209 42	**Reissue independent claims over original patent	
110 18	210 9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$396.00)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40.00
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$105.00)

SUBMITTED BY Complete (if applicable)

Name (Print/Type) Stuart T. Langley

Registration No. (Attorney/Agent)

33,940

Telephone

(720) 406-5335

Signature

Date

2/12/02



COPY OF PAPERS
ORIGINALLY FILED

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/001,940	11/29/2001	Holger Nolte	CRR0001

CONFIRMATION NO. 8873

FORMALITIES LETTER



OC000000007215565

Stuart T. Langley, Esq.
Hogan & Hartson, LLP
Suite 1500
1200 17th Street
Denver, CO 80202

Date Mailed: 12/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/27/2002 SMINASS1 00000040 10001940

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 OP
02 FC:203	144.00 OP
03 FC:202	252.00 OP
04 FC:205	65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$396.
 - \$144 for 16 total claims over 20.
 - \$252 for 6 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 831.

*A copy of this notice **MUST** be returned with the reply.*

Kil

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

FILED
JAN 10 2002
FBI